



35,9\$&< ,1)250\$7,21

6XEPLVVLRQ RI WKH UHTXHVWHG LQIRUPDWLRQ LV PDQGDWRU\ 7KH LQIR  
&RGH 6HFWLRQV HW VHT DQG ZLOO EH XVHG IRU DGPLQLVWUDWLRQ  
(PSOR\HHV 5HWLUHPHQW /DZ WKH 6RFLDO 6HFXULW\ \$FW DQG WKH 3XEO  
WKH FDUVH PD\ EH 3RUWLRQV RI WKLW LQIRUPDWLRQ PD\ EH WUDQYIHUU  
HPSOR\HU EXW RQO\ LQ VWULFW DFFRUGDQFH ZLWK FXUUHQW VWDWXW  
LQIRUPDWLRQ PD\ UHVXOW LQ WKH 6\VVHP EHLQJ XQDEOH WR SHUIRUP LWV

<RX KDYH WKH ULJKW WR UHYLHZ \RXU PHPEHUVKLS ILOHV PDLQWDLQHG EY  
XQGHU WKH ,QIRUPDWLRQ 3UDFWLFHV \$FW RI 6HF\ 6\VVHP DQG RQ 3\W\DFW\ \\  
&DO3(56 4 6WUHHW 6DFUDPHQWR &\$

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3DUW \$

7\SH RI \$FWLRQ

D &KHFN 1(: LI WKLW \RXU QHZ LQLWLDO HQUROOPHQW

L 1RW\WKHU FDQQRW EH D EUHDNLQJ Q&RQ 3\W\DFW\ ZHMHQW\KW KDQ G

EHJLQQLQJ RI &2%5\$ HQUROOPHQW

E &KHFN &+\$1\*( LI \RX DUH DGGLQJ RU GHOWLQJ GHSHQGHQWV RU IRU

F &KHFN &DQFHO LI \RX DUH FDQFHOLQJ \RXU &2%5\$ HQUROOPHQW

L<RX FDQ VNLS WKH UHVW RI WKH VHFWRQV LQ 3DUW \$

L&RPSOHWH 3DUW % 3DUW (

&KHFN DSSOLFDEOH 7\SH RI 3HUPLWWLQJ (YHQW

3URYLGH RULJLQDO (YHQW 'DWH SHUPDQHQW VHSUDWLRQ GLYRUFH GD

(QWHU RULJLQDO &2%5\$ (QUROOPHQW 3HULRG

([DPSOHV

3HUPDQHQW 6HSDUDWLRQ GDWH &2%5\$ (QUROOPHQW 3HULRG

&KLOG DWWDU\ V DJH

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\_#p,...L pðP7³ 7ÏHppD@Pð)c6p ...L À H p0 V pð V À` Ü\6 q @DEV p`i`WÏPE

|,Á 0 Q,` 2 F6CÝE &p 0 @ T™P2PÀ Ë ;2dhp bEV



# 3ULYDF\ 1RWLFH

7KH SULYDF\ RI SHUVRQDO LQIRUPDWLRQ LV RI WKH XWP  
&DO3(56 7KH IROORZLQJ LQIRUPDWLRQ LV SURYLGHG W  
WKH ,QIRUPDWLRQ 3UDFWLFHV \$FW RI DQG WKH )HGH

,QIRUPDWLRQ 3XUSR VH 6RFLDO 6HFXULW\ QXPEHUV DUH XV  
7KH LQIRUPDWLRQ UHTXHVWHG WKH IROORZLQJ SXUSR VH  
SXUVXPDWKHW\*RYHUQPHQW &RGH VHFWLRQV LGHQWL¿FDWLRQ  
HW VHT DQG ZLOO EH XVHG IRU 3D)UROO GHGFWLRQ VWDWH FRO  
DGPLQLVWUDWLRQ RI %RDUG GXWLFV XQGH WKH FROWUDFWLQJ DJHQ  
5HWLUHPHQW /DZHFKH WR \$EODQG HPSOR\HH HPSOR\HU FROWULEXW  
WKH 3XEOLF (PSOR\HHV) OHGLFDO DQG \$FWLQJ &DO3(56) DQG RWKH  
&DUH \$FW DV WKH FDFLHV DRQH RI DJHQFLHV  
WKH UHTXHVWHG PDQIRUPDWLRQ LV 6RRUGLQDWLRQ RI EHQH¿WV DPR  
)DLOXUH WR FRPSO\ PD\ UHVXOW LQ &DO3(56) 5HVROYLQJ PHPEHU DSSHDOV FR  
EHLQJ XQDEOH WR SHUIRUP LWV IXQFWLRQV QDFHV ZLWK KHDOWK S  
UHJDUGLQJ \RXU VWDWXV ,QIRUPDWLRQ 'LVFORVXUH  
3OHDVH GR QRW LQFOXGH LQIRUPDWLRQ WKH IROORZLQJ DJHQFLHV VXFK  
QRW UHTXHVWHG HPSOR\HU SK\VLFLDQV DQG LQVX  
EXW RQO\ LQ VWULFW DFFRUGDQFH ZLWK FXU  
6RFLDO 6HFXULW\ 1XPEHUV UHJDUGLQJ FRO¿GHQWLDOLW\  
6RFLDO 6HFXULW\ QXPEHUV DUH FRO¿GHQWLDOLW\  
PDQGDWRU\ DQG YROXQWDU\ EDVLV I WKLV LV  
&DO3(56) UHTXHVW IRU GLVFORVXUH RI \RXU  
6RFLDO 6HFXULW\ WKHQ GLVFORVXUH KIDLYH WKH ULJKW WR UHYLHZ \F  
PDQGDWRU\ RFLDRO 6HFXULW\ QXPEHUV RDVQWDLQHG E\ WKH 6\VWHP  
DOUHDG\ EHHQ SURYLGHG GLVFORVXUH LV YROXQWDU\  
'XH WR WKH XVH RI 6RFLDO 6HFXULW\ QXPEHUV E\  
RWKHU DJHQFLHV IRU LGHQWL¿FDWLRQ SXUSR VH ZH  
PD\ EH XQDEOH WR YHULI\ HOLJLELQW\ IRU EHQH¿WV  
ZLWKRXW WKH QXPEHU